



Attorney Docket No.: GLOZ 2 00153 (#133821)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**MAIL STOP PATENT APPLICATION**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

*NEW APPLICATION TRANSMITTAL*

Transmitted herewith for filing is the patent application entitled

**METHOD AND APPARATUS FOR TRI-COLOR RAIL SIGNAL SYSTEM  
WITH CONTROL**

By: Matthew SOMMERS; Chris BOHLER; Patrick MARTINEAU; & Louis BRUNET

1. **Type of Application**

☒ Original (non-provisional)

2. **Required Papers Enclosed under 37 CFR 1.53(b) (Regular):**

6 Pages of specification  
3 Pages of claims  
1 Page of Abstract  
4 Sheets of Formal/Informal Drawings (Figures 1-4)

3. **Declaration or oath**

☒ Enclosed

4. **Preliminary Amendment**

☐ Enclosed

5. **Language**

☒ English

6. **Assignment**

☒ An assignment of the invention to: **GELcore LLC**  
☒ A check in the amount of **\$40.00** is enclosed as well as the  
"Assignment Recordation Form Cover Sheet".

7. **Priority**

Priority is hereby claimed to Patent Application No. \_\_\_\_\_ filed \_\_\_\_\_

8. **Information Disclosure Statement**

☒ Enclosed ☒ Form 1449 PTO ☐ References



9. **Fee Calculation (37 CFR 1.16)**

| CLAIMS AS FILED   |                  |                  |          |                  |
|---|------------------|------------------|----------|------------------|
| (1) FOR   | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
| TOTAL CLAIMS<br>(37 CFR 1.16(c))                              | 20 - 20 =        | 0                | x \$ 18  | = \$0.00         |
| INDEPENDENT CLAIMS<br>(37 CFR 1.16(b))                        | 2 - 3 =          | 0                | x \$ 86  | = \$0.00         |
| MULTIPLE DEPENDENT CLAIMS<br>(IF APPLICABLE) (37 CFR 1.16(d)) |                  | ANY -- 0         | \$ 290   | = \$0.00         |
| BASIC FEE (37 CFR 1.16(a))                                    |                  |                  |          | \$770.00         |
| TOTAL =   |                  |                  |          | \$ 770.00        |

10. ☒ A check in the amount of **\$770.00** is enclosed.

11. **Authorization to Charge Deposit Account**

- ☒ The Commissioner is hereby authorized to charge the following additional fees or credit any overpayment during the entire pendency of this application to Deposit Account No. 06-0308.
- ☒ 37 CFR 1.16(a), (f) or (g) (filing fees)
- ☒ 37 CFR 1.16(b), (c), and (d) (presentation of extra claims)
- ☒ 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)


12. **Please address correspondence and direct telephone calls to:**

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Respectfully submitted,  
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January 8, 2004

Date

  
\_\_\_\_\_  
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"Express Mail" Mailing Label Number: EL998016041US  
Date of Deposit: January 8, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: MAIL STOP PATENT APPLICATION, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated above.

  
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Hilary M. McNULTY